

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1 of 11

**Complete if Known**

Application Number	09/929,610
Filing Date	August 13, 2001
First Named Inventor	Ronald E. Sloan
Art Unit	3693
Examiner Name	Borlinghaus, Jason M.
Attorney Docket Number	10761.0186-02

**U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS**

Examiner Initials	Cite No.	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
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/Jason Borlinghaus/ (03/28/2010)

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**Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.****FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation
		Country Code Number Kind Code (if known)				
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/JMB/	53	"GE Center for Financial Learning: Planning Tools, 'How Much Am I Spending?' " Internet Article, Online! 1999-2000. Retrieved from the Internet: <a href="http://www.financiallearning.com/ge/calculator.jsp?old=9705&amp;BV_SessionID=@@@@1126282150.1003930066@@@@@BV_EngineID=cadcfkmghkgbedcgceckh.0">www.financiallearning.com/ge/calculator.jsp?old=9705&amp;BV_SessionID=@@@@1126282150.1003930066@@@@@BV_EngineID=cadcfkmghkgbedcgceckh.0</a> on Oct. 24, 2001; 3 pgs.	
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	64	European Patent Application No. 01927371.3 (Publication No. EP 1269386), Communication pursuant to Article 96(2), with Annex to the communication; Date: June 5, 2003.	
	65	European Patent Application No. 01927371.3 (Publication No. EP 1269386), Decision to refuse a European Patent Application, with Annex to the communication; Date: March 17, 2005.	
	66	European Patent Application No. 01927371.3 (Publication No. EP 1269386), Minutes of the oral proceedings before the Examining Division; Date of Proceedings: February 17, 2005, Date of Minutes: March 17, 2005.	
	67	European Patent Application No. 01927371.3 (Publication No. EP 1269386), Summons to attend oral proceedings, with Annex to the communication; Date: October 27, 2004.	
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/Jason Borlinghaus/ (03/28/2010)

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IDS Form PTO/SB/08: Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	09/929,610
		Filing Date	August 13, 2001
		First Named Inventor	Ronald E. Sloan
		Art Unit	3693
		Examiner Name	Borlinghaus, Jason M.
		Attorney Docket Number	10761.0186-02
Sheet	4	of	11

NON PATENT LITERATURE DOCUMENTS			
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/JMB/	72	European Patent Application No. 02765976.2 (Publication No. EP 1423813), Communication pursuant to Article 96(2) EPC, with Annex to the communication; Date: February 10, 2005.	
	73	European Patent Application No. 02765976.2 (Publication No. EP 1423813), Communication regarding the declaration under Rule 45 EPC, with Annex to the communication; Date: July 1, 2004.	
	74	European Patent Application No. 02765976.2 (Publication No. EP 1423813), Decision to refuse a European Patent application; Date: January 21, 2008.	
	75	European Patent Application No. 02765976.2 (Publication No. EP 1423813), Minutes of the oral proceedings before the Examining Division, with Annexes to the communication; Date of Proceedings: November 27, 2007; Date of Minutes: January 21, 2008.	
	76	European Patent Application No. 02765976.2 (Publication No. EP 1423813), Result of consultation of November 19, 2007 with Annex to the communication; Date: November 23, 2007.	
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	80	European Patent Application No. 02802758.9 (Publication No. EP 1435033), Minutes of the oral proceedings before the Examining Division, with Annexes to the communication; Date of Proceedings: June 17, 2009, Date of Minutes: June 30, 2009.	
	81	European Patent Application No. 02802758.9 (Publication No. EP 1435033), Summons to attend oral proceedings, with Annex to the communication; Date: March 5, 2009.	
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Examiner Signature	/Jason Borlinghaus/ (03/28/2010)	Date Considered
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/JMB/	90	International Patent Application No. PCT/US00/41850 (Publication No. WO 01/37187), Declaration of Non-Establishment of International Search Report Under PCT Article 17(2)(a); Date of Mailing: February 5, 2002.		
	91	International Patent Application No. PCT/US00/41850 (Publication No. WO 01/37187), International Preliminary Examination Report; Date of Completion: September 7, 2002.		
	92	International Patent Application No. PCT/US00/41852 (Publication No. WO 01/33474), Declaration of Non-Establishment of International Search Report Under PCT Article 17(2)(a); Date of Mailing: September 25, 2001.		
	93	International Patent Application No. PCT/US00/41852 (Publication No. WO 01/33474), International Preliminary Examination Report; Date of Completion: July 26, 2002.		
	94	International Patent Application No. PCT/US00/41855 (Publication No. WO 01/67334), Declaration of Non-Establishment of International Search Report Under PCT Article 17(2)(a); Date of Mailing: August 23, 2001.		
	95	International Patent Application No. PCT/US00/41855 (Publication No. WO 01/67334), International Preliminary Examination Report; Date of Completion: February 10, 2002.		
	96	International Patent Application No. PCT/US00/41872 (Publication No. WO 01/33476), Declaration of Non-Establishment of International Search Report Under PCT Article 17(2)(a); Date of Mailing: May 28, 2003.		
	97	International Patent Application No. PCT/US00/41872 (Publication No. WO 01/33476), International Preliminary Examination Report; Date of Completion: April 8, 2005.		
	98	International Patent Application No. PCT/US01/40213 (Publication No. WO 01/65907), Declaration of Non-Establishment of International Search Report Under PCT Article 17(2)(a); Date of Mailing: June 15, 2001.		
	99	International Patent Application No. PCT/US01/40213 (Publication No. WO 01/65907), International Preliminary Examination Report; Date of Completion: October 16, 2003.		
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	110	International Patent Application No. PCT/US02/25492 (Publication No. WO 03/39227), International Search Report; Date of Mailing: August 18, 2003.	
	111	International Patent Application No. PCT/US02/25500 (Publication No. WO 03/17168), Declaration of Non-Establishment of International Search Report Under PCT Article 17(2)(a); Date of Mailing: April 22, 2003.	
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	127	Office Action issued in U.S. Patent Application No. 09/451,670; Date Mailed: October 23, 2001.	
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/JMB/	134	Office Action issued in U.S. Patent Application No. 09/520,564; Date Mailed: July 1, 2002.	
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	137	Office Action issued in U.S. Patent Application No. 09/520,580, Advisory Action; Date Mailed: October 6, 2004.	
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	145	Office Action issued in U.S. Patent Application No. 09/520,940 - Decision on Appeal by the Board of Patent Appeals and Interferences; Decided: April 23, 2007.	
	146	Office Action issued in U.S. Patent Application No. 09/520,940 - Examiner's Answer before the Board of Patent Appeals and Interferences; Date Mailed: March 21, 2006.	
	147	Office Action issued in U.S. Patent Application No. 09/520,940, Advisory Action; Date Mailed: July 12, 2004.	
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Examiner  
Signature

/Jason Borlinghaus/ (03/28/2010)

Date  
Considered

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 8 of 11

**Complete if Known**

Application Number	09/929,610
Filing Date	August 13, 2001
First Named Inventor	Ronald E. Sloan
Art Unit	3693
Examiner Name	Borlinghaus, Jason M.
Attorney Docket Number	10761.0186-02

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS); title of the article (when appropriate); title of the item (book, magazine, journal, serial, symposium, catalog, etc.); date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
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**Complete if Known**

Application Number	09/929,610
Filing Date	August 13, 2001
First Named Inventor	Ronald E. Sloan
Art Unit	3693
Examiner Name	Borlinghaus, Jason M.
Attorney Docket Number	10761.0186-02

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Application Number	09/929,610
Filing Date	August 13, 2001
First Named Inventor	Ronald E. Sloan
Art Unit	3693
Examiner Name	Borlinghaus, Jason M.
Attorney Docket Number	10761.0186-02

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✓	233	Press Release, "Weblin Communications Unveils New Customer Interaction Software Suite for Enabling Interactive E-Commerce and Customer Service", Business Wire; New York; Aug 16, 1999, pages 1-4, extracted on Internet from <a href="http://proquest.umi.com">http://proquest.umi.com</a> on 03/04/2003.	

<sup>1</sup> The search report for PCT/US02/25488 (WO 03/17040) cited, as an "X" document, on June 23, 2002, a press release, "Weblin Communications Unveils New Customer Interaction Software Suite for Enabling Interactive E-Commerce and Customer Service", Business Wire; New York; Apr 20, 1999, pages 1-3. It is suspected that the reference was mis-cited by the PCT search organization, because a press release of the same title and from the same source but of a different date (August 16, 1999, not April 20, 1999) was found. The August 16, 1999, press release is attached.

Examiner Signature	/Jason Borlinghaus/ (03/28/2010)	Date Considered
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